

Synthesis of Electrode Materials IX International School-Conference of Young Scientists 2024

RUSSIAN SCIENCE

Introduction to Atomistic Modeling: Connection to Materials Synthesis



<u>**Prof. Dmitry Aksyonov**</u>, Dr. Anton Boev, Dr. Andrey Geondzhian, Arseniy Burov PhD, Maria Solovieva MSc

Skoltech Energy Center for Energy Science and Technology

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# Computational track chronology at ICYS series

- 2021 ICYS VI Solid State Chemistry of Battery Materials
  - Computational track: Modeling of Intercalation potential, Li-ion diffusion in solid electrolyte
- 2022 ICYS VII Electrochemical Materials Science
  - Computational track: Modeling of transition metals and ligands impact on Na-ion potential and diffusion
- 2023 ICYS VIII Crystallography of Energy Materials
  - Computational track: Modelling of point defects energetics and diffusion
- 2024 ICYS VIII Synthesis of Electrode Materials (!)
  - Computational track: Calculate solubility in solids, Calculate phase diagrams, Predict morphology of particles, Calculate XAS spectra

# Plan of lecture

- Short introduction to materials modeling
- How to model/approach co-precipitation synthesis of cathode material?
- Basics of quantum level atomistic modeling (DFT)

# Modeling of solids

Strength of materials (elastic) continuum models based on Hooke's law

90 kN

10 kN/m

Plastic deformation? atomic level is required Functional properties? electronic level is required







- Atomistic modeling is essentially required for detailed understanding of functional materials
- Only atomistic modeling can help to predict new materials

# **Methods vs scales**

- <10<sup>2-5</sup> atoms, <10nm, <100 ps
  - Density Functional Theory
  - (post) Hartree-Fock
  - Semiempirical
- <  $10^9$  atoms, <1  $\mu$ m, <100 ns
  - Parametrized
  - Classical, Machine Learned)
  - QM/MM
- Not atomistic Meso, <1mm, <1 hour
  - Kinetic Monte-Carlo
  - Phase-field models
- Not atomistic Macro, ~1m, ~ms-days
  - Finite elements
  - continuum models (diff. eq.)



#### Description on atomistic level: interaction + dynamics



Interaction between atoms:

- Parametrized/empirical
- True quantum description

Dynamics:

- Classical Molecular dynamics
- Monte-Carlo
- Quantum dynamics

# Interatomic interactions in atomistic modeling



Distance between atoms, r

1. Jones J. E. Proc. R. Soc. Lond. A106 (1924) 441–462; 463-477 (Articles by W.Bragg in the same vol) 2. Lennard-Jones J. E. Proc. R. Soc. Lond. A109 (1925) 584–597 (Articles by P.Dirac in the same vol)

## Essential role of electrons in interatomic interaction

- 1897 discovery of electron by E.Wiechert and J. Thomson
- 1913 planetary model of atom by N. Bohr
- 1924 wave properties of electron suggested by Louis de Broglie and proved in 1927 in Davisson–Germer experiment
- 1926 Schrödinger equation for wave functions and can describe electrons correctly

Time dependent SE ( $\psi$  - wave function, *H* - operator of total energy):

$$\mathrm{i}\hbarrac{\partial}{\partial t}\psi(\mathbf{r},t)=\hat{H}\psi(\mathbf{r},t)$$

 $\hat{H}\Psi(\vec{r}_1,\vec{r}_2,\cdots\vec{r}_N)=E\Psi(\vec{r}_1,\vec{r}_2,\cdots\vec{r}_N)$ 

$$\hat{H}=-rac{\hbar^2}{2m}
abla^2+V(ec{r},t)$$

kinetic energy + potential energy

Orbital s ( $\ell = 0, m_{\ell} = 0$ )

X

E=-13.6 eV

# Molecular dynamics method

- Solve Newtonian equation of motion for N classical particles (3N coupled equations)
- The force between atoms is determined either from DFT or using classical force field

$$\mathbf{F} = m\mathbf{a}$$
  
$$E_{\text{tot}} = \sum_{i=1}^{N} \frac{1}{2} m_i \mathbf{v}_i^2 + V(\mathbf{r}) \qquad -\frac{dV}{d\mathbf{r}} = m \frac{d^2 \mathbf{r}}{dt^2}$$



#### Algorithm:

- Give particles initial positions
   r<sub>0</sub>=r(t=0), velocities v<sub>0</sub>=v(t=0).
   Calculate and store energy E<sub>0</sub>=E(t=0)
   and other quantities at t=0. Choose
   short time-step ∆t (typical ~0.1-1fs)
- Get forces F(t) and accelerations a(t) (see \*)
- Move particles, i.e. compute r(t+∆t) and v(t+∆t)
- 4. Move time forward  $t=t+\Delta t$
- 5. Calculate and store energy *E(t)* and other quantities at *t*.
- 6. Repeat as long as you need

Aksyonov et al. Inorg. Chem. 2021, 60, 5497-5506

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# Scheme of co-precipitation synthesis



# Step 1. Prepare solutions, e.g. $MnSO_4 + NH_4HCO_3$

- pH level is important for precipitation
- To calculate equilibrium concentrations of species the following system of nonlinear equations should be solved:

$$\overline{c}_{Mn^{2+}} = [Mn^{2+}] + [MnCO_3] + [Mn(OH)_2] + [Mn(NH_3)]^{2+} + [Mn(NH_3)_2]^{2+} + [Mn(NH_3)_3]^{2+} + [Mn(NH_3)_4]^{2+}$$
(1)

 $\overline{c}_{HCO_3^-} = [HCO_3^-] + [H_2CO_3] + [CO_3^{2-}] + [MnCO_3]$ 

(2)

chemical reaction	hemical reaction equilibrium constants (	
$Mn^{2+} + CO_3^{2-} \rightleftharpoons MnCO_3$	$4.273 \times 10^{10}$	
$H^+ + CO_3^{2-} \rightleftharpoons HCO_3^-$	$1.779 \times 10^{10}$	
$H^+ + HCO_3^- \rightleftharpoons H_2CO_3$	$2.325 \times 10^{6}$	
$Mn^{2+} + 2OH^{-} \rightleftharpoons Mn(OH)_{2}$	$5.263 \times 10^{12}$	

# Python library to compute concentrations

https://github.com/Kastakin/PyES



# **PyES**

Article: PyES - An open-source software for the computation of solution and precipitation equilibria

Solution pH dependent precipitation of MnCO<sub>3</sub>



# Step 2. Modeling of co-precipitation

Co-precipitation should be achieved (depends on solubility, pH, surface, mixing)

- 1. Ion behaviour is solution (role of complexing agents) MD
- pH-dependence, how to avoid selective precipitation, calculate solubility limits MD
- 3. Surface chemistry of particles (Ni, Mn, Co distribution on surface, adsorption of ligands -> particle morphology)
- 4. Homogeneity (segregation, phase separation)
- 5. Morphology and particle size control
  - a. computational fluid dynamics (CFD) mixing and stirring conditions

Predictions:

- 1. Optimize pH, temperature, concentrations, stirring
- 2. Defects during co-precipitation

# Step 2. Co-precipitation:

Types of co-precipitation reactors: проточный + стационарный



#### Step 2. Co-precipitation. Impact of Mn<sup>2+</sup> concentration

Batch reactor precipitation



# What governs particle morphology? Thermodynamics vs kinetics?



Assuming that only **thermodynamics** matters, the shape of particle is defined by its **surface energy** 

# Surface energy $\gamma$ (J/m<sup>2</sup>), role of stoichiometry



#### Surface energy as a function of Mn<sup>2+</sup> chemical potential



$$\mu_i=\mu_i^\circ+RT\ln a_i,$$

Chemical potential of Mn<sup>2+</sup> can be taken from experimental tables, or **calculated with Molecular dynamics** of solvation

# How do spherical particles appear?

We assumed that the shape of particle is governed with thermodynamics, but what about kinetics?



# Modeling of particles growth with mesoscale model

Atomistic modeling is challenging, the particle growth rate is 10  $\mu$ m/min 1  $\mu$ m particle of MnCO<sub>3</sub> has 4.28×10<sup>10</sup> (43 billion) atoms, Therefore mesoscale phase-field methods are used

- ξ is phase variable 1 for liquid phase, -1 for precipitate, 0 for interface
- $\xi$  changes smoothly eliminating necessity to track sharp interfaces
- Concentration  $c_{Mn2+}$  and  $c_{CO32-}$  varies within the liquid phase.
- Driving forms for precipitation s comes from supersaturation c1\*c2/K<sub>SP</sub>

$$\tau \frac{\partial \xi}{\partial t} = -\frac{\delta F}{\delta \xi} = -\left(\frac{\partial F}{\partial \xi} - \vec{\nabla} \cdot \frac{\partial F}{\partial \vec{\nabla} \xi}\right) \begin{bmatrix} F \text{ is energy functional} \\ T \text{ is time constant of} \\ reaction rate \end{bmatrix}$$

$$F(\xi, c) = \int (((1/2) \cdot ((\epsilon A(\hat{n}))^2 \cdot |\vec{\nabla} \xi|^2)) + f(\xi, c)) \, dV$$
Surface energy
Supersaturation
Diffusion
$$\frac{\partial c_i}{\partial t} = \vec{\nabla} \cdot (D_i \vec{\nabla} c_i) + A_1 \frac{\partial \xi}{\partial t} \quad \text{c is concentration} \\ A_1 \text{ related to SP} \end{bmatrix}$$

# Parameters of the phase field model

name	symbol	unit	value
diffusivity of reacting species	$D_{{ m Mn}^{2+}}$ , $D_{{ m CO}_3}{}^{2-}$	m <sup>2</sup> /s	$7.12 \times 10^{-10}$
solubility product	$K_{ m SP}$	$mol^2/L^2$	$2.34 \times 10^{-11}$
reaction rate constant	$k_{ m ref}$	m/s	$1.0 \times 10^{-4}$
initial size of the nucleus		$\mu$ m	$0.2 \times 0.2$
concentration inside the precipitate	$ ho_{ m s}$	mol/m <sup>3</sup>	32200.0
temperature	T	K	323.0
surface energy density	γ	$J/m^2$	0.97
coupling strength	λ		0.0025
interfacial length	1	$\mu$ m	0.4

# Results of phase field modeling



# Particle growth rate



# Summary

The growth of precipitates is controlled by energy of free surface, surface diffusion and growth rate

- Small concentration of ions -> small oversaturation -> slow growth -> the shape is controlled thermodynamically
- Large concentration of ions -> strong oversaturation -> high growth -> the shape is controlled kinetically - spherical
- Surface energies is calculated with **DFT**
- Chemical potential and diffusivity is taken from experiment, but can be also calculated using **molecular dynamics**.
- The growth of precipitates is modelled with phase-field model based on parameters calculated with DFT and taken from experiment

# Scheme of co-precipitation synthesis



# Step 6. Solid State Synthesis (sintering)



Figure 6: Stages of sintering.

#### For NMC: $MnCO_3 + Li_2CO_3 \rightarrow LiMnO_2 + 2CO_2$

Remove  $CO_3$  and introduce Li.

Processes:

- Densification, removal of pores
- Reaction between two phases with the formation of a new phase
- Diffusion of transition elements
- CO<sub>2</sub> removal

# Step 6. Solid State Synthesis (sintering)

Why modeling can be useful?

- Predict how particle size, sintering time, T influence grain growth,
- Sintering atmosphere and partial pressure affect phase stability, oxidation states, defects
- What is the final size distribution and morphology

What tools can be used?

- DFT, Thermodynamics, Molecular Dynamics, Monte-Carlo, Phase-Field Modeling, Finite Elements;
- The complete description is challenging, but individual processes can be addressed:
  - impact of Li-excess and  $O_2$  partial pressure on particle morphology
  - diffusion of transition metals and other elements to estimate required sintering times
  - segregation of dopants

# MD EAM simulation of W particles sintering



- Small-sized powder enables sintering at lower temperatures
- Revealed pre-melting on surface layer that gives low activation energy

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# First principles or ab initio approach

Quantum chemistry allowed description of matter from first principles without any information from experiment, except for fundamental constants, such as electron mass, charge and planck constant



*INPUT:* - Atomic number - 3 - Number of atoms in unit cell - 1 **OUTPUT**:

- Metall, E<sub>cohesive</sub> = 1.63 eV/atom
- bcc lattice with a = 3.51 A at room T

- Electronic structure and possibility to derive all properties corresponding to ideal crystal

In principle the calculated properties will match experiment almost exactly! I.e. quantum mechanics completely describes chemical interactions, **but** ....

# Quantum description is very complex to treat!

 $\Psi$ (r1, r2, ... rn, R1, R2, RN) is a complex function depending on 3n +3N coordinates. The analytical solution is available only for H<sub>2</sub> therefore numerical methods are used. To write down  $\Psi$  numerically with only 10 values for each coordinate (just the real part without spin):



# We need approximations! There are four of them.



# **Approximation 1: Born-Oppenheimer**

Since nuclei are much heavier than electrons  $(m_p = 1835 m_e)$ , their dynamics can be decoupled and considered separately:

- a. Froze cores -> solve electrons -> solve cores classically (error scales as  $(M/m_e)^{-1/4}$ )
- b. Reduces number of variables and simplifies the problem
- c. Oftenly used in calculations introducing only small error for ground state



Robert Oppenheimer



# Approximation 2: deals with core electrons



# Approximation 2: Replace core electrons with pseudopotential



*PAW - projected augmented wave*, introduces only small error compared to full electron description

# Approximation 3: electronic density (actually not)

Use electronic density:  $\Psi(\mathbf{r}_1, \mathbf{r}_2, ..., \mathbf{r}_N) \rightarrow \mathbf{n}(\mathbf{x}, \mathbf{y}, \mathbf{z})$ 

$$n(\mathbf{r}) = N \int \mathrm{d}^3 \mathbf{r}_2 \cdots \int \mathrm{d}^3 \mathbf{r}_N \ \Psi^*(\mathbf{r},\mathbf{r}_2,\ldots,\mathbf{r}_N) \Psi(\mathbf{r},\mathbf{r}_2,\ldots,\mathbf{r}_N)$$



Electronic density is observable

#### Hohenberg-Kohn proved that 3 is not an approximation

- The idea of using electron density for calculations of solid was first used in semi-classical orbital-free Thomas-Fermi theory (1927); but the accuracy was quite low due to kinetic energy approximation.
- In 1964 Hohenberg and Kohn proved two important theorems according to which the electronic density is equivalent to many body wave functions in the sense that the total energy and all other properties are uniquely determined by density.



HK theory is exact (it has no approximations), but it was unsuited for practical problems! It still relies on many-electron wave function to get density

1st theorem:

2nd theorem:

$$E = F[n(\mathbf{r})] + \int V_{ext}(r)n(\mathbf{r})d\mathbf{r} \qquad E_0[n_0] = \min_n(E[n])$$

n

 $V_{ext}$  is potential created by nuclei

39

998

Approximation 4: replace many-particle system with one particle system in effective potential

#### **Reality:**

The real orbit slightly wiggles due to many-particle interaction.

#### Mean-field approximation:

The orbit is calculated assuming that m rotates around one object with mass M+M<sub>1</sub>





The wiggle due to many-particle interactions is called *correlation* 

# Approximation 4: Kohn - Sham theory

Replace the original interacting many-body system with an auxiliary one of non-interacting electrons. Take interaction into account with two terms:

1) Interaction of each electron with electron density  $(V_{\text{Hartree}})$ 

ion

Many-body

perspective

2) Interaction of each electron with exchange-correlation potential  $(V_{xc})$ 



Lu Jeu Sham

# Hohenberg-Kohn + Kohn-Sham =

electron

density

DFT perspective ion

Density functional theory (DFT)

# Rush for accurate exchange-correlation potential

$$V_{eff}(\mathbf{r}) = V_{ext}(\mathbf{r}) + V_{Hartree}[n] + V_{xc}[n]$$
 Heaven of chemical accuracy

In principle, if we can know the true form  $V_{xc}[n]$ , we can have the exact solution of many-particle system





John P. Perdew, one of the developers of exchange correlation functionals made an analogy with Jacob's Ladder AIP Conference Proceedings 577, 1 (2001)



# Exchange-correlation functional flavours



Perdew, John P., Kieron Burke, and Matthias Ernzerhof Generalized gradient approximation made simple, *Phys. Rev. Let.* 77 (1996) 3865 138 000 citations in 2019, 202 000 in 2024!

# Summary of approximations used in DFT

- Born-Oppenheimer approximation decouple electron and nuclei dynamics
  - very accurate for ground state properties lattice constants, energies, elastic, etc.
- 👌 Total energy and other properties as a functional of electronic density
  - not an approximation at al, just equivalent description
- A Pseudopotential for core electrons
  - very accurate for chemical tasks
- - Many-electron effects are inside exchange-correlation potential ( $V_{xc}$ )
  - In principle can be exact, but exact  $V_{xc}$  is unknown,
  - V<sub>XC</sub> is approximated with several levels of accuracy, but the receipt for systematic improvement is still unknown!
  - The main source of errors in DFT calculations. Binding energies of molecules, band gaps, surfaces and strongly-correlated systems are especially sensitive to  $V_{xc}$

# What can be calculated with DFT for solids?



But solids contain in the order of one mole of matter 10<sup>23</sup> atoms! How they can be treated computationally?

# Periodicity of crystals



#### Accuracy: Lattice constants (1-2% overestimation)



Vanadyl bond length in NaVOPO4 is overestimated by 5% in PBE+U, but hybrid functional is accurate (<1%)

Aksyonov, Comp. Mat. Sci. 154 (2018) 449-458

# DFT vs Experiment (KTP structures)



*J. Mater. Chem. A*, 2018, 6, 14420–14430 *J. Electr. Soc.*, 2017, 164, A6373-A6380

48

#### Total energy example: Intercalation potentials



#### Total energy example: phase diagrams, voltage profile

How to calculate phases with intermediate concentrations?



Fedotov et al., Nat. Comm. 11 (2020) 1484

# Intermediate concentrations: construct supercells



# Connection to real materials

- Using one primitive cell an infinite ideal crystal can be modelled allowing to consider numerous properties
  - crystal structure and lattice constants
  - energy phase stability
  - electronic structure and functional properties
- By choosing an appropriate functional very good agreement between theory and experiment can be obtained (within several percents)
- However, one should always keep in mind that real materials are far from ideal



# Modeling of defects: vacancies

DFT allows modeling of complex defects Once you can insulate the role of some defect you can study it with DFT modeling



A vacancy is repeated every third unit cell infinitely -> so we have matrix of vacancies If the distance is large enough vacancies don't know about each other and don't interact 10x10x10 A is usually enough

#### Modeling of defects: grain boundaries







Aksyonov et al. J. Phys.: Condens. Matter 28 (2016) 385001 Abakumov et al. ACS Appl. Energy Mater. 2021, 4, 6777–6786

#### Modeling of defects: surfaces



Structure (can be difficult) and energetics of defects Influence on

Vacuum

(003)

- mechanical strength
- diffusion characteristics
- morphology of particles

A.O. Boev, et al. Applied Surface Science 537 (2021) 147750

1.85 Å

1.81

# Conductivity based on 0 K migration barriers

Nernst–Einstein relation for ionic conductivity.  $N_{Li}$  is the number of lithium ions, V is the volume of the simulation cell

$$\sigma(T) = \frac{N_{\rm Li} e^2}{V k_{\rm B} T} D(T),$$

Diffusion is

$$D(T) \approx D_0 \ e^{-\frac{E_a}{k_B T}},$$
$$D_0 = g a^2 v^*,$$

*g* is geometrical factor (~1) *a* is hopping distance,  $v^*$  is temperature-independent effective attempt frequency (10<sup>11</sup> to 10<sup>13</sup>),  $E_a$  is migration barrier



# Crystal lattice dynamics

Even at zero T atoms have zero-point T vibrations. At higher temperatures the vibrations increases. Collective modes are known as phonons





- By taking into account vibration, the impact of temperature can be studied

# Ionic conductivity, Ab initio MD



# Can be used to simulate diffusion of transition metals during sintering

Mo, Yifei, Shyue Ping Ong, and Gerbrand Ceder. " *Chemistry of Materials* 24.1 (2012): 15-17.

Urban, A. et al.// npj Computational Materials 2.1 (2016): 1-13.

# Summary of crystal modeling

- By considering only one unit cell an infinite ideal crystal can be modelled
- The structure and almost all crystal properties can be calculated: mechanical, electric, magnetic, etc. with very good accuracy (1-10%)
- Real crystals with defects can be modeled using supercell approach.
- By a wise selection of model systems very complex phenomena in crystal can be considered
- The effects of temperature are taken into account with the help by calculating collective migrations, known as phonons, and Molecular Dynamics

#### Sources

- J. Garcia et al. / Chem. Mater. 2020, 32, 9126–9139 MnCO<sub>3</sub> co-precipitation modeling
- 2. A. Moitra et al. / Acta Materialia 58 (2010) 3939–3951 W sintering modeling

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