

Synthesis of Electrode Materials IX International School-Conference of Young Scientists 2024

Introduction to Atomistic Modeling: Connection to Materials Synthesis

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Computational track chronology at ICYS series

- 2021 ICYS VI *Solid State Chemistry of Battery Materials*
	- Computational track: Modeling of Intercalation potential, Li-ion diffusion in solid electrolyte
- 2022 ICYS VII *Electrochemical Materials Science*
	- Computational track: Modeling of transition metals and ligands impact on Na-ion potential and diffusion
- 2023 ICYS VIII *Crystallography of Energy Materials*
	- Computational track: Modelling of point defects energetics and diffusion
- 2024 ICYS VIII *Synthesis of Electrode Materials (!)*
	- Computational track: Calculate solubility in solids, Calculate phase diagrams, Predict morphology of particles, Calculate XAS spectra

Plan of lecture

- Short introduction to materials modeling
- How to model/approach co-precipitation synthesis of cathode material?
- Basics of quantum level atomistic modeling (DFT)

Modeling of solids

Strength of materials (elastic) continuum models based on Hooke's law

Plastic deformation? atomic level is required

Functional properties? electronic level is required

- Atomistic modeling is essentially required for detailed understanding of functional materials
- Only atomistic modeling can help to predict new materials

Methods vs scales

- $<$ 10²⁻⁵ atoms, <10nm, <100 ps
	- Density Functional Theory
	- (post) Hartree-Fock
	- Semiempirical
- \cdot < 10⁹ atoms, <1 μ m, <100 ns
	- Parametrized
	- Classical, Machine Learned)
	- QM/MM
- Not atomistic Meso, <1mm, <1 hour
	- Kinetic Monte-Carlo
	- Phase-field models
- Not atomistic Macro, ~1m, ~ms-days
	- Finite elements
	- continuum models (diff. eq.)

Description on atomistic level: interaction + dynamics

Interaction between atoms:

- Parametrized/empirical
- True quantum description

Dynamics:

- Classical Molecular dynamics
- Monte-Carlo
- Quantum dynamics

Interatomic interactions in atomistic modeling

Distance between atoms, r

1. Jones J. E. Proc. R. Soc. Lond. A106 (1924) 441–462; 463-477 (Articles by W.Bragg in the same vol) 2. Lennard-Jones J. E. Proc. R. Soc. Lond. A109 (1925) 584–597 (Articles by P.Dirac in the same vol)

Essential role of electrons in interatomic interaction

- 1897 discovery of electron by E.Wiechert and J. Thomson
- 1913 planetary model of atom by N. Bohr
- 1924 wave properties of electron suggested by Louis de Broglie and proved in 1927 in Davisson–Germer experiment
- 1926 Schrödinger equation for wave functions and can describe electrons correctly

Time dependent SE (*ψ* **- wave function,** *H* **- operator of total energy):**

$$
\mathrm{i}\hbar\frac{\partial}{\partial t}\psi(\mathbf{r},t)=\hat{H}\psi(\mathbf{r},t)
$$

 $\hat{H}\Psi(\vec{r}_1,\vec{r}_2,\cdots\vec{r}_N)=E\Psi(\vec{r}_1,\vec{r}_2,\cdots\vec{r}_N)$

$$
\hat{H}=-\frac{\hbar^2}{2m}\nabla^2+V(\vec{r},t)
$$

kinetic energy + potential energy

Orbital s (ℓ = 0, m_{ℓ} = 0)

 \times

E=-13.6 eV

Molecular dynamics method

- Solve Newtonian equation of motion for N classical particles (3N coupled equations)
- The force between atoms is determined either from DFT or using classical force field

$$
\mathbf{F} = m\mathbf{a}
$$

$$
E_{\text{tot}} = \sum_{i=1}^{N} \frac{1}{2} m_i \mathbf{v}_i^2 + V(\mathbf{r}) \qquad -\frac{dV}{d\mathbf{r}} = m \frac{d^2 \mathbf{r}}{dt^2}
$$

Algorithm:

- 1. Give particles initial positions $r_o = r(t=0)$, velocities $v_o = v(t=0)$. Calculate and store energy $E_0 = E(t=0)$ and other quantities at t=0. Choose short time-step Δt (typical \sim 0.1-1fs)
- 2. Get forces $F(t)$ and accelerations $a(t)$ $(see *)$
- 3. Move particles, i.e. compute $r(t+\Delta t)$ and $v(t+\Delta t)$
- 4. Move time forward $t=t+\Delta t$
- 5. Calculate and store energy **E(t)** and other quantities at **t**.
- 6. Repeat as long as you need

Aksyonov et al. Inorg. Chem. 2021, 60, 5497−5506

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- Basics of quantum level atomistic modeling (DFT)

Scheme of co-precipitation synthesis

Step 1. Prepare solutions, e.g. $MnSO_4 + NH_4HCO_3$

- pH level is important for precipitation
- To calculate equilibrium concentrations of species the following system of nonlinear equations should be solved:

$$
\overline{c}_{\text{Mn}^{2+}} = [\text{Mn}^{2+}] + [\text{MnCO}_3] + [\text{Mn}(\text{OH})_2]
$$

+
$$
[\text{Mn}(\text{NH}_3)]^{2+} + [\text{Mn}(\text{NH}_3)_2]^{2+}
$$

+
$$
[\text{Mn}(\text{NH}_3)_3]^{2+} + [\text{Mn}(\text{NH}_3)_4]^{2+}
$$
 (1)

 $\overline{c}_{\text{HCO}_3^-}$ = [HCO₃] + [H₂CO₃] + [CO₃²] + [MnCO₃]

 (2)

Python library to compute concentrations

<https://github.com/Kastakin/PyES>

PyES

Article: PyES - An open-source software for the computation of solution and precipitation equilibria

Solution pH dependent precipitation of MnCO₃

Step 2. Modeling of co-precipitation

Co-precipitation should be achieved (depends on solubility, pH, surface, mixing)

- 1. Ion behaviour is solution (role of complexing agents) MD
- 2. pH-dependence, how to avoid selective precipitation, calculate solubility limits MD
- 3. Surface chemistry of particles (Ni, Mn, Co distribution on surface, adsorption of ligands -> particle morphology)
- 4. Homogeneity (segregation, phase separation)
- 5. Morphology and particle size control
	- a. computational fluid dynamics (CFD) mixing and stirring conditions

Predictions:

- 1. Optimize pH, temperature, concentrations, stirring
- 2. Defects during co-precipitation

Step 2. Co-precipitation:

Types of co-precipitation reactors: проточный + стационарный

Step 2. Co-precipitation. Impact of Mn^{2+} concentration

Batch reactor precipitation

?

What governs particle morphology? Thermodynamics vs kinetics?

Assuming that only **thermodynamics** matters, the shape of particle is defined by its **surface energy**

Surface energy γ (J/m²), role of stoichiometry

Surface energy as a function of Mn^{2+} chemical potential

$$
\mu_i = \mu_i^\circ + RT\ln a_i,
$$

Chemical potential of Mn^{2+} can be taken from experimental tables, or **calculated with Molecular dynamics** of solvation

How do spherical particles appear?

We assumed that the shape of particle is governed with thermodynamics, but what about kinetics?

Modeling of particles growth with mesoscale model

Atomistic modeling is challenging, the particle growth rate is 10 μ m/min 1 μ m particle of MnCO₃ has 4.28×10¹⁰ (43 billion) atoms, Therefore mesoscale phase-field methods are used

- ξ is phase variable 1 for liquid phase, -1 for precipitate, 0 for interface
- ξ changes smoothly eliminating necessity to track sharp interfaces
- Concentration c_{Mn2+} and c_{CO32-} varies within the liquid phase.
- Driving forms for precipitation *s* comes from supersaturation $c1$ *c2/K_{SP}

$$
\tau \frac{\partial \xi}{\partial t} = -\frac{\delta F}{\delta \xi} = -\left(\frac{\partial F}{\partial \xi} - \overrightarrow{\nabla} \cdot \frac{\partial F}{\partial \overrightarrow{\nabla} \xi}\right) \begin{matrix} \text{F is energy functional} \\ \text{r is time constant of} \\ \text{reaction rate} \end{matrix}
$$

$$
F(\xi, c) = \int \left(\frac{((1/2) \cdot ((\varepsilon A(\hat{n}))^2 \cdot |\overrightarrow{\nabla} \xi|^2)) + f(\xi, c)\right) dV}{\text{Surface energy}} \text{Supersaturation}
$$

Diffusion $\frac{\partial c_i}{\partial t} = \overrightarrow{\nabla} \cdot (D_i \overrightarrow{\nabla} c_i) + A_1 \frac{\partial \xi}{\partial t}$ c is concentration

Parameters of the phase field model

Results of phase field modeling

Particle growth rate

Summary

The growth of precipitates is controlled by energy of free surface, surface diffusion and growth rate

- Small concentration of ions -> small oversaturation -> slow growth -> the shape is controlled thermodynamically
- Large concentration of ions -> strong oversaturation -> high growth -> the shape is controlled kinetically - spherical
- Surface energies is calculated with **DFT**
- Chemical potential and diffusivity is taken from experiment, but can be also calculated using **molecular dynamics**.
- The growth of precipitates is modelled with phase-field model based on parameters calculated with DFT and taken from experiment

Scheme of co-precipitation synthesis

Step 6. Solid State Synthesis (**sintering**)

Figure 6: Stages of sintering.

For NMC: $MnCO_3 + Li_2CO_3 \rightarrow LiMnO_2 + 2CO_2$

Remove CO $_3$ and introduce Li.

Processes:

- Densification, removal of pores
- Reaction between two phases with the formation of a new phase
- Diffusion of transition elements
- CO₂ removal

Step 6. Solid State Synthesis (**sintering**)

Why modeling can be useful?

- Predict how particle size, sintering time, T influence grain growth,
- Sintering atmosphere and partial pressure affect phase stability, oxidation states, defects
- What is the final size distribution and morphology

What tools can be used?

- DFT, Thermodynamics, Molecular Dynamics, Monte-Carlo, Phase-Field Modeling, Finite Elements;
- The complete description is challenging, but individual processes can be addressed:
	- $\;$ impact of Li-excess and $\mathrm{O}_2^{}$ partial pressure on particle morphology
	- diffusion of transition metals and other elements to estimate required sintering times
	- segregation of dopants

MD EAM simulation of W particles sintering

Fig. 5. Sintering response of W nanoparticle based on atomistic simulation.

- Small-sized powder enables sintering at lower temperatures
- Revealed pre-melting on surface layer that gives low activation energy

A. Moitra et al. / Acta Materialia 58 (2010) 3939–3951

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First principles or *ab initio* approach

Quantum chemistry allowed description of matter from first principles without any information from experiment, except for fundamental constants, such as electron mass, charge and planck constant

INPUT: - Atomic number - 3 - Number of atoms in unit cell - 1

OUTPUT:

- Metall, E_{cohesive} = 1.63 eV/atom
- bcc lattice with a = 3.51 A at room T

- Electronic structure and possibility to derive all properties corresponding to ideal crystal

In principle the calculated properties will match experiment almost exactly! I.e. quantum mechanics completely describes chemical interactions, **but**

Quantum description is very complex to treat!

Ψ(r1, r2, .. rn, R1, R2, RN) is a complex function depending on 3n +3N coordinates. The analytical solution is available only for H_2 therefore numerical methods are used. To write down Ψ numerically with only 10 values for each coordinate (just the real part without spin):

We need approximations! There are four of them.

Approximation 1: Born-Oppenheimer

Since nuclei are much heavier than electrons $(m_p = 1835 m_e)$, their dynamics can be decoupled and considered separately:

- a. Froze cores -> solve electrons -> solve cores classically (error scales as (M/m $_{\rm e}$)^{-1/4})
- b. Reduces number of variables and simplifies the problem
- c. Oftenly used in calculations introducing only small error for ground state

Max Born Robert

Oppenheimer

Approximation 2: deals with core electrons

Approximation 2: Replace core electrons with pseudopotential

PAW - *projected augmented wave*, introduces only small error compared to full electron description

Approximation 3: electronic density (actually not)

Use electronic density: $\Psi(\mathbf{r}_1, \mathbf{r}_2, ..., \mathbf{r}_N) \rightarrow \mathbf{n}(\mathbf{x}, \mathbf{y}, \mathbf{z})$

$$
n(\mathbf{r}) = N \int \mathrm{d}^3\mathbf{r}_2 \cdots \int \mathrm{d}^3\mathbf{r}_N\, \Psi^*(\mathbf{r},\mathbf{r}_2,\ldots,\mathbf{r}_N) \Psi(\mathbf{r},\mathbf{r}_2,\ldots,\mathbf{r}_N)
$$

Electronic density is observable

Hohenberg-Kohn proved that 3 is not an approximation

- The idea of using electron density for calculations of solid was first used in semi-classical orbital-free Thomas-Fermi theory (1927); but the accuracy was quite low due to kinetic energy approximation.
- In 1964 Hohenberg and Kohn proved two important theorems according to which the electronic density is equivalent to many body wave functions in the sense that the total energy and all other properties are uniquely determined by density.

function to get density **Page 1998** HK theory is exact (it has no approximations), but it was unsuited for practical problems! It still relies on many-electron wave

1st theorem: 2nd theorem:

$$
E = F[n(\mathbf{r})] + \int V_{ext}(r)n(\mathbf{r})d\mathbf{r} \qquad E_0[n_0] = \min_n(E[n])
$$

Vext is potential created by nuclei

Approximation 4: replace many-particle system with one particle system in effective potential

Reality:

The real orbit slightly wiggles due to many-particle interaction.

Mean-field approximation:

The orbit is calculated assuming that m rotates around one object with mass $M+M$ _I

The wiggle due to many-particle interactions is called *correlation*

Approximation 4: Kohn - Sham theory

Replace the original interacting many-body system with an auxiliary one of non-interacting electrons. Take interaction into account with two terms:

1) Interaction of each electron with electron density (V_{Hartree})
2) Interaction of each electron with exchange-correlation pot

ion

Many-body

perspective

Interaction of each electron with exchange-correlation potential (V_{XC})

Lu Jeu Sham

\overrightarrow{a} $\mathsf{Yohn\text{-}Sham} \qquad \qquad \mathsf{list} \mathsf{is}$ Hohenberg-Kohn + Kohn-Sham =

electron

density

DFT

perspective

ion

function depending only on three $\overline{}$ Density functional theory (DFT)

Rush for accurate exchange-correlation potential

In principle, if we can know the true form *V xc* **[***n***]**, we can have the exact solution of many-particle system

John P. Perdew, one of the developers of exchange correlation functionals made an analogy with **Jacob's Ladder** AIP Conference Proceedings 577, 1 (2001)

Exchange-correlation functional flavours

Perdew, John P., Kieron **Burke**, and Matthias **Ernzerhof** Generalized gradient approximation made simple, *Phys. Rev. Let.* 77 (1996) 3865 **138 000 citations in 2019, 202 000 in 2024!**

Summary of approximations used in DFT

- 8 Born-Oppenheimer approximation decouple electron and nuclei dynamics
	- very accurate for ground state properties lattice constants, energies, elastic, etc.
- Total energy and other properties as a functional of electronic density
	- not an approximation at al, just equivalent description
- **•** Pseudopotential for core electrons
	- very accurate for chemical tasks
- One many-electron system \Rightarrow many one-electron systems with effective potential;
	- \circ Many-electron effects are inside exchange-correlation potential (V_{xc})
	- \circ In principle can be exact, but exact V_{χ_C} is unknown,
	- \circ V_{xc} is approximated with several levels of accuracy, but the receipt for systematic improvement is still unknown!
	- The main source of errors in DFT calculations. Binding energies of molecules, band gaps, surfaces and strongly-correlated systems are especially sensitive to V_{xc}

What can be calculated with DFT for solids?

But solids contain in the order of one mole of matter 10^{23} atoms! How they can be treated computationally?

Periodicity of crystals

Accuracy: Lattice constants (**1-2%** overestimation)

Vanadyl bond length in NaVOPO4 is overestimated by 5% in PBE+U, but hybrid functional is accurate (<1%)

47 Aksyonov, *Comp. Mat. Sci.* 154 (2018) 449–458

DFT vs Experiment (KTP structures)

J. Mater. Chem. A, 2018, 6, 14420–14430 *J. Electr. Soc.*, 2017, 164, A6373-A6380

48

Total energy example: Intercalation potentials

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Total energy example: phase diagrams, voltage profile

 $_1$ How to calculate phases with intermediate concentrations?

Fedotov et al., *Nat. Comm.* 11 (2020) 1484

constructed

Intermediate concentrations: construct supercells

Connection to real materials

- Using one primitive cell an infinite ideal crystal can be modelled allowing to consider numerous properties
	- crystal structure and lattice constants
	- energy phase stability
	- electronic structure and functional properties
- By choosing an appropriate functional very good agreement between theory and experiment can be obtained (within several percents)
- However, one should always keep in mind that real materials are far from ideal

Modeling of defects: vacancies

DFT allows modeling of complex defects Once you can insulate the role of some defect you can study it with DFT modeling

A vacancy is repeated every third unit cell infinitely -> so we have matrix of vacancies If the distance is large enough vacancies don't know about each other and don't interact 10x10x10 A is usually enough

Modeling of defects: grain boundaries

hcp Titanium:

Aksyonov et al. J. Phys.: Condens. Matter 28 (2016) 385001

Abakumov et al. ACS Appl. Energy Mater. 2021, 4, 54 6777−6786

Modeling of defects: surfaces

 (003) $\mathbf b$ $1.85A$ $1.81 /$

Structure (can be difficult) and energetics of defects Influence on

- mechanical strength
- diffusion characteristics
- morphology of particles

55 A.O. Boev, et al. Applied Surface Science 537 (2021) 147750

Conductivity based on 0 K migration barriers

Nernst–Einstein relation for ionic conductivity. N_{Li} is the number of lithium ions, V is the volume of the simulation cell

$$
\sigma(T) = \frac{N_{\text{Li}} e^2}{V k_{\text{B}} T} D(T),
$$

Diffusion is

$$
D(T) \approx D_0 e^{-\frac{E_a}{k_B T}},
$$

$$
D_0 = ga^2 v^*,
$$

g is geometrical factor (~1) *a* is hopping distance, *g* is geometrical factor (~1)
 a is hopping distance,
 v^* is temperature-independent effective attempt frequency (10 11 to 10 13), *E a* is migration barrier

Crystal lattice dynamics

Even at zero T atoms have zero-point T vibrations. At higher temperatures the vibrations increases. Collective modes are known as phonons

- By taking into account vibration, the impact of temperature can be studied

Ionic conductivity, *Ab initio* MD

Can be used to simulate diffusion of transition metals during sintering

Mo, Yifei, Shyue Ping Ong, and Gerbrand Ceder. " *Chemistry of* Urban, A. et al.// *npj Computational Materials* 2.1 (2016): 1-13. *Materials* 24.1 (2012): 15-17.

Summary of crystal modeling

- By considering only one unit cell an infinite ideal crystal can be modelled
- The structure and almost all crystal properties can be calculated: mechanical, electric, magnetic, etc. with very good accuracy $(1-10\%)$
- Real crystals with defects can be modeled using supercell approach.
- By a wise selection of model systems very complex phenomena in crystal can be considered
- The effects of temperature are taken into account with the help by calculating collective migrations, known as phonons, and Molecular Dynamics

Sources

- 1. J. Garcia et al. / Chem. Mater. 2020, 32, 9126-9139 MnCO₃ co-precipitation modeling
- 2. A. Moitra et al. / Acta Materialia 58 (2010) 3939–3951 W sintering modeling

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